



Request for Proposals CVTA2022-01

Financial Advisory Services

August 16, 2021

Proposals Due No Later Than:.....September 17, 2021, 4:00 P.M.

Location for Receipt of Proposals:..... PlanRVA
9211 Forest Hill Avenue, Suite 200
Richmond, Virginia 23235
CVTA@PlanRVA.org

Inquiries: Questions which may arise as a result of this solicitation may be addressed to Martha Heeter, at (804) 323-2033, or by email to CVTA@PlanRVA.org.

Inquiries must be received no later than August 27, 2021 at 4:00 p.m. in order to be considered. Contact initiated by an offeror concerning this solicitation with any other representative, not expressly authorized elsewhere in this document, is prohibited. Any such unauthorized contact may result in disqualification of the offeror from this transaction.

Inclusive Purchasing and Supplier Diversity: Central Virginia Transportation authority is committed to assuring opportunity for all businesses in providing support to the organization. All vendors are encouraged to examine proposals through a lens of diversity, equity and inclusion. We welcome proposals that support small-, minority-, women-, and disabled-owned businesses the opportunity to compete. Proposals which leverage partnerships and/or include minority and women owned firms as part of their engagement proposal will be viewed favorably.

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PURPOSE

The Central Virginia Transportation Authority (herein referred to as “the Authority”) is seeking proposals from qualified firms to provide independent Financial Advisory Services. It is the Authority’s intent to establish a contract for these services.

BACKGROUND

The Central Virginia Transportation Authority was enacted by the General Assembly during the 2020 Legislative Session and officially established on July 1, 2020. The Authority comprises the towns, cities and counties located within Planning District 15 including the Town of Ashland, City of Richmond, and Counties of Charles City, Chesterfield, Goochland, Hanover, Henrico, New Kent and Powhatan.

The Purpose of the Authority is to administer transportation funding generated through the imposition of an additional regional 0.7 percent sales and use tax (revenue collection began October 2020) and a wholesale fuels tax of 7.6 cents per gallon of gasoline and 7.7 cents per gallon of diesel fuel (revenue collection began in July, 2020). According to the enabling legislation, 35% of the total revenues (herein referred to as the “regional projects’ funds”) will be set aside for investment in transportation projects that were prioritized and selected by the Authority. All projects must benefit Planning District 15 and one or more member jurisdictions.

The Richmond Regional Planning District Commission (herein referred to as “PlanRVA”) is providing administrative support services to the Authority in Fiscal Year 2022. PlanRVA staff will be coordinating this Request for Proposals (herein referred to as the “RFP”) with support from the nine member localities of the Authority. Information about the Authority and records of meetings of the Authority and its subcommittees may be found at CVTAva.org.

SCOPE OF WORK/SPECIFICATIONS

The Authority is seeking proposals from qualified financial advisors on the development of an investment and project financing strategy for the regional projects’ fund administered by the Authority. The following are a listing of services required through this RFP.

Provide assistance with the development of a financing plan for regional transportation projects, including development of financial models that evaluate financing alternatives to debt.

- Proposals should give examples of project financing options that inform the Authority on key factors such as Debt Service Coverage, Amortization Structure and Term, Reserve Policy Guidance, and Prioritization of projects in a hybrid model that incorporates Pay-Go funding for projects.
- In addition, Proposals should advise the Authority on preparatory activities to be undertaken to cultivate and strengthen indicative ratings of the Authority.

These activities may include, but not be limited to establishment of a project prioritization list and policies for liquidity, reserves and debt service.

Provide advice and assistance with presentations to credit rating agencies, underwriters, institutional investors and other capital market entities, as needed.

Provide debt management and financial policy planning assistance with an emphasis on achieving high rating status.

Provide assistance with the issuance of General Obligation Public Improvement Bonds or other financing options permitted by the Code of Virginia, including, but not limited to, the timing, evaluation, sizing, and structuring of the issues. Providers should be able to:

- Assist in determining the most appropriate method of sale, competitive or negotiated and assist in developing policies and practices that meet the Authority's objective of obtaining the lowest practical interest cost and the widest competition of purchasers for its securities.
- Assist with selection of underwriters and the review and evaluation of all underwriting bids submitted. Assist in re-sizing the bond proceeds, as needed, to meet funding requirements. Also, confirm terms with the successful bidder.
- Be proactive in providing advice and assistance on refunding of bond issues including reviewing the Authority's outstanding debt and debt structure over time and recommending strategies and opportunities to maximize savings and establish low cost financing where appropriate.
- Assist in the preparation and review of all legal and financing documents in coordination with legal counsel, special Bond Counsel and other relevant parties.

Provide investment advice and/or strategies on issues such as, but not limited to overall investment policy and philosophy, debt service reserve accounts, escrow funds, and other long-term investments.

Provide direction in debt planning and management.

Perform other financial advisory services as required by the Authority.

INSTRUCTIONS

Submission and Receipt of Proposals

Submittals, in one (1) original, (1) electronic copy via USB Drive or shared Drive, one (1) redacted copy and electronic redacted copy on USB (if invoking § 2.2-4342F and providing Attachment D), marked "**CVTA Financial Advisory Services**" will be

received until, but no later than the date and time specified on the cover sheet, at:

PlanRVA
9211 Forest Hill Avenue, Suite 200
Richmond, Virginia 23235
Monday-Friday 8:30 a.m. – 4:00 p.m.

Should the offeror decide to utilize an express delivery service, please note that the delivery should be confirmed and tracking information be provided prior to the deadline for submission.

Mark the outside of the envelope with "**RFP# CVTA2022-01**" and proposal subject, "**CVTA Financial Advisory Services**".

It is the sole responsibility of the offerors for ensuring that their proposals are stamped as received by PlanRVA staff before the deadline indicated in Paragraph A of these instructions. Proposals and/or any addenda pertaining thereto, received after the announced time and date of receipt, by mail or otherwise, will be returned. However, nothing in this RFP precludes the Authority from requesting additional information at any time during the procurement process.

In the event that PlanRVA offices are not operating under normal staffing levels or if the location for pre-proposal meetings or receipt of proposals is inaccessible due to inclement weather or other emergency situations at the published time, the proposal submission or pre-proposal meeting will default to the next regular business day at the same time.

If you are an individual with a disability and require a reasonable accommodation, please notify the staff at PlanRVA at (804) 323-2033, three working days prior to need.

Nothing herein is intended to exclude any responsible firm or in any way restrain or restrict competition. On the contrary, all responsible firms are encouraged to submit proposals. Any requirements listed herein are intended to describe qualifications, certifications and/or experience considered to be vital and should be used as guidelines for proposal submission. Firms not meeting specific requirements listed herein are encouraged to list and/or demonstrate alternate qualifications, certifications and/or experience for consideration.

Any proposal submitted **MUST** include the cover sheet included herein as ATTACHMENT D: Proposal Registration Form which has been signed by an individual authorized to bind the offeror. All proposals submitted without such signature will not be considered.

Proposals shall not be accepted via fax or email.

| For information pertaining to the decision to award and/or award on this procurement transaction, offerors may access public notification electronically at

<https://planrva.org/home/about-the-commission/purchasing-and-bids/>.

Submittal Format

In order to facilitate the analysis of responses to this RFP, offerors should prepare the proposal in accordance with the instructions outlined in this section and should structure the proposal so that it contains individual tabs/sections detailing proposed services.

Offeror's responses should be prepared as simply as possible with straightforward, concise descriptions of their capabilities to satisfy the requirements of this RFP.

Expensive bindings, color displays, promotional materials, demo CDs, etc., are not needed. Emphasis should be concentrated on accuracy, completeness, and clarity of content. All information should be presented in a non-technical format to ensure understanding. All responses should be tailored specifically for the Authority.

The Authority encourages proposals that provide innovative alternatives to addressing needs as described in the solicitation. Information which the offeror desires to present that does not fall within any of the requirements of the RFP should be inserted at an appropriate place or be attached at the end of the proposal and designated as additional material. The Authority is under no obligation to consider or negotiate information or documentation that is submitted not in compliance with the requirements herein or that is submitted by an offeror after the deadline for submission of the proposal identified in Section IV(A)(1) above. Notwithstanding the preceding sentence, the Authority may, in its sole discretion, consider and/or negotiate such submissions.

Detailed Submittal Format

1. Introduction letter, signed cover sheet, Attachment A – Virginia State Corporation Commission (SCC) Registration Information form, and addenda acknowledgement, if applicable.
2. Executive summary – Provide a narrative, prepared in non-technical terms, summarizing the offeror's proposal. The executive summary should identify the primary contact for the offeror including name, address, telephone number and email address.
3. A detailed description of the services to be provided which addresses each of the topics listed in the Scope of Work/Specifications. Clearly state your ability to meet or exceed the requested services.
4. Statement of Qualifications and Capacity of firm to provide services required. The offeror should include a description of the organizational and staff experience as it relates to meeting the Authority's needs, including experience administering similar contracts for governmental entities. The response should address firm's size, structure, and number of years in

business.

5. Key Individuals – The offeror should provide a list of key individuals to be assigned to the Authority's contract, specify their role in administering the contract, and provide a current biography/resume for each individual.
6. References - All offerors should include a list of a minimum of three (3) references, from similar projects/contracts, who could attest to the firm's knowledge, quality of work, timeliness, diligence, flexibility, and ability to meet budget constraints. Include names and addresses, contact persons, phone numbers and e-mail addresses of all references. The Authority reserves the right to contact references other than, and/or in addition to those furnished by an offeror. References may or may not be reviewed or contacted at the discretion of the Authority.
7. Cost of each service listed in the Pricing Schedule.

TERMS AND CONDITIONS

The following terms and conditions are applicable to, and incorporated by reference in, any governmental procurement from a nongovernmental source conducted by a public body in Virginia, as public bodies are defined in *Code of Virginia* Section 2.2-4301, as amended, including any agencies, boards, authorities, schools, or other entities for which the Authority acts as purchasing agent. The term "Authority" as used in any general or special terms and conditions includes the applicable entity or entities listed above as the context may require.

GENERAL TERMS AND CONDITIONS

Addenda: Any changes or supplemental instructions to a solicitation shall be in the form of written addenda. Most addenda are downloadable from <https://planrva.org/home/about-the-commission/purchasing-and-bids/>. Each offeror is responsible for obtaining all addenda posted at the website or by calling (804) 323-2033. Acknowledgement of receipt of all addenda shall be in the space provided within the solicitation or by returning a copy of each signed addendum. Failure to do so may result in rejection of the proposal. All addenda issued shall become part of the solicitation and all resulting contract documents.

Appropriation of Funds: The continuation of the terms, conditions, and provisions of a resulting contract beyond June 30 of any year, the end of the Authority's fiscal year, are subject to approval and ratification by the members of the Authority and appropriation by them of the necessary money to fund said contract for each succeeding year. In the event of non-appropriation of funds, the contract shall be automatically terminated with no recourse for the Contractor.

Assignment of Contract: The Authority and Contractor bind themselves, and any successors and assigns to the contract. The employees of the Contractor

will perform the work necessary to fulfill the contract. The Contractor shall not assign, sublet, subcontract or transfer any of its interest in the contract without written consent of the Authority. Nothing herein shall be construed as creating any personal liability on the part of any officer or agent of the Authority, nor shall it be construed as giving any rights or benefits hereunder to anyone other than the Authority and Contractor.

Audit of Vendor Records: Contractor shall maintain full and accurate records with respect to all matters covered under the contract including, without limitation, accounting records, written policies and procedures, time records, telephone records, reproduction cost records, travel and living expense records and any other supporting evidence necessary to substantiate charges related to the contract. Contractor's records shall be open to inspection and subject to audit and/or reproduction, during normal working hours by the Authority and its employees, agents or authorized representatives to the extent necessary to adequately permit evaluation and verification of any invoices, payments or claims submitted by Contractor pursuant to this contract. Such records subject to examination shall also include, without limitation, those allocations as they may apply to costs associated with the contract. The Authority shall have access to such records from the effective date of the contract, for the duration of the contract, and until two (2) years after the date of final payment by the Authority to the Contractor pursuant to this contract. The Authority's employees, agents or authorized representatives shall have access to the Contractor's facilities, shall have access to all necessary records, and shall be provided adequate and appropriate work space, in order to conduct audits in compliance with this paragraph.

Change Orders: Change orders must be approved by the Authority prior to work being performed.

Commitment to Diversity, Inclusive Procurement and Businesses of the Region:

Participating jurisdictions in the CVTA are growing rapidly with an increasingly diverse population. This diversity provides for a dynamic and robust business community that provides opportunity to purchase with inclusion as a priority. All residents of the region should benefit from this economic growth without regard to race, color, religion or economic status.

Central Virginia Transportation authority is committed to assuring opportunity for all businesses in providing support to the organization. All vendors are encouraged to examine proposals through a lens of diversity, equity and inclusion. We welcome proposals that provide small-, minority-, women-, and disabled-owned businesses the opportunity to compete. Proposals which leverage partnerships and/or include minority and women owned firms as part of their engagement proposal will be viewed favorably.

All businesses are encouraged to respond to all solicitations. In addition, the Authority strongly encourages each Contractor and/or supplier with which the Authority contracts to actively solicit minority-owned businesses, service-disabled veteran-owned businesses, small businesses, women-owned businesses, and businesses located in Planning District 15 as subcontractors/suppliers for their projects.

Upon award/completion of work, the Authority will require the Contractor to furnish data regarding subcontractor/supplier activity with minority-owned businesses, service-disabled veteran-owned businesses, small businesses, women-owned businesses, and businesses located in Planning District 15 on a Certification of Subcontractor/Supplier Activity form which will be provided to the Contractor. This information will enable the Authority to document the dollar level of activity and measure the success of its inclusive purchasing and contracting efforts in this endeavor.

Definitions:

Regional Business (RB) – any private business enterprise, located within the jurisdictional boundaries of local governments within Planning District 15.

Minority-Owned Business (MOB) – a business that is at least 51% owned by one or more minority individuals who are U.S. citizens or legal resident aliens, or in the case of a corporation, partnership, or limited liability company or other entity, at least 51% of the equity ownership interest in the corporation, partnership, or limited liability company or other entity is owned by one or more minority individuals who are U.S. citizens or legal resident aliens, and both the management and daily business operations are controlled by one or more minority. (*Code of Virginia* Section 2.2-4310, as amended)

Service-Disabled Veteran-Owned Business (SDVOB) – a business that is at least 51 percent owned by one or more service disabled veterans or, in the case of a corporation, partnership, or limited liability company or other entity, at least 51% of the equity ownership interest in the corporation, partnership, or limited liability company or other entity is owned by one or more individuals who are service-disabled veterans and both the management and daily business operations are controlled by one or more individuals who are service-disabled veterans. (*Code of Virginia* Section 2.2-4310, as amended)

Small Business (SB) – a business independently owned and controlled by one or more individuals who are U.S. citizens or legal resident aliens, and together with affiliates, has 250 or fewer employees, or annual gross receipts of \$10 million or less averaged over the previous three years. One or more of the individual owners shall control both the management and daily business operations of the small business. (*Code of Virginia* Section 2.2-4310, as amended)

Women-Owned Business (WOB) – a business that is at least 51% owned by one or more women who are U.S. citizens or legal resident aliens, or in the

case of a corporation, partnership, or limited liability company or other entity, at least 51% of the equity ownership interest is owned by one or more women who are U.S. citizens or legal resident aliens, and both the management and daily business operations are controlled by one or more women. (*Code of Virginia* Section 2.2-4310, as amended)

This provision only applies to contracts valued in excess of \$10,000.

If the contractor intends to subcontract work as part of its performance under this contract, the contractor shall include in the proposal a plan to subcontract to small, women-owned, minority-owned, and service-disabled veteran-owned businesses. (*Code of Virginia* Section 2.2-4310, as amended)

Contract Execution: The contract shall be entered into by, and in the name of the Central Virginia Transportation Authority, the specific entity receiving the benefit of the goods and services.

Contractor Background Checks: In order to preserve the integrity and security of Authority operations, contract workers may be required to undergo a criminal background check. The Authority will conduct these checks for any worker it believes will have unsupervised access to Security Sensitive areas. Contract workers providing goods, services or construction in these designated areas are required to confine themselves to the area of the work. Based on the results of the background check, the contract worker may be disqualified from providing work/services for the Authority.

Contractor's Authorization to Transact Business: In accordance with *Code of Virginia* Section 2.2-4311.2, as amended, any offeror organized as a stock or nonstock corporation, limited liability company, business trust, or limited partnership or registered as a registered limited liability partnership shall be authorized to transact business in the Commonwealth as a domestic or foreign business entity if so required by Title 13.1 or Title 50 of the *Code of Virginia*, or as otherwise required by law. Any offeror organized or authorized to transact business in the Commonwealth of Virginia pursuant to Title 13.1 or Title 50 of the *Code of Virginia* shall include in its proposal the identification number issued to it by the State Corporation Commission. Any offeror that is not required to be authorized to transact business in the Commonwealth as a foreign business entity under Title 13.1 or Title 50 of the *Code of Virginia*, or as otherwise required by law, shall include in its proposal a statement describing why the offeror is not required to be so authorized. This information shall be provided on Attachment A titled "Virginia State Corporation Commission (SCC) Registration Information". Failure to provide the required information may result in the rejection of the proposal. The Contractor shall not allow its existence to lapse or its certificate of authority or registration to transact business in the Commonwealth of Virginia, if so required under Title 13.1 or Title 50 of the *Code of Virginia*, to be revoked or cancelled at any time during the term of the contract. The Authority may void any contract with a Contractor if the Contractor fails to remain in compliance with the provisions

of this section. SEE ATTACHMENT A.

Copyrights or Patent Rights: The offeror certifies by submission of a proposal that there has been no violation of copyrights or patent rights in manufacturing, producing, or selling the product or services shipped or ordered as a result of this solicitation. The Contractor shall, at his own expense, defend any and all actions or suits charging such infringement, and will save the Authority, its officers, employees, and agents harmless from any and all liability, loss, or expense incurred by any such violation, or alleged violation.

Default: In case of failure to deliver the goods or services in accordance with the contract terms and conditions, the Authority may, without prejudice to any other right or remedy, and after giving the Contractor seven (7) calendar days written notice, terminate the employment of the Contractor and procure such goods or services from other sources. In such event, the Contractor shall be liable to the Authority for any resulting additional purchase and administrative costs. This remedy shall be in addition to any other remedies which the Authority may have.

Drug Free Workplace: (*Code of Virginia Section 2.2-4312, as amended*) This provision only applies to contracts valued in excess of \$10,000.

- i. During the performance of this contract, the Contractor agrees to provide a drug-free workplace for the Contractor's employees;
- ii. Post in conspicuous places, available to employees and applicants for employment, a statement notifying employees that the unlawful manufacture, sale, distribution, dispensation, possession, or use of a controlled substance or marijuana is prohibited in the Contractor's workplace and specifying the actions that will be taken against employees for violations of such prohibition;
- iii. State in all solicitations or advertisements for employees placed by or on behalf of the Contractor that the Contractor maintains a drug-free workplace; and
- iv. Include the provisions of the foregoing clauses in every subcontract or purchase order of over \$10,000, so that the provisions will be binding upon each subcontractor or vendor.

For the purposes of this section, "drug-free workplace" means a site for the performance of work done in connection with a specific contract awarded to a Contractor in accordance with this chapter, the employees of whom are prohibited from engaging in the unlawful manufacture, sale, distribution, dispensation, possession or use of any controlled substance or marijuana during the performance of the contract.

Employment Discrimination: (*Code of Virginia Section 2.2-4311, as amended*)

This provision only applies to contracts valued in excess of \$10,000.

During the performance of this contract, the Contractor agrees as follows:

- i. The Contractor will not discriminate against any employee or applicant for employment because of race, religion, color, sex, national origin, age, disability, or any other basis prohibited by state law relating to discrimination in employment, except where there is a bona fide occupational qualification reasonably necessary to the normal operation of the Contractor. The Contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices setting forth the provisions of this nondiscrimination clause.
- ii. The Contractor, in all solicitations or advertisements for employees placed by or on behalf of the Contractor, will state that such Contractor is an equal opportunity employer.
- iii. Notices, advertisements, and solicitations placed in accordance with federal law, rule or regulation shall be deemed sufficient for the purpose of meeting the requirements of this section.
- iv. The Contractor shall include the provisions of the foregoing paragraphs a, b, and c in every subcontract or purchase order of over \$10,000, so that the provisions will be binding upon each subcontractor or vendor.

Environmental Health & Safety (EHS):

The Contractor shall follow all federal, state and local environmental and safety laws and regulations. Proposal or bid pricing shall include all costs associated with controls, permits and training to ensure regulatory compliance and to protect human health and the environment.

The Contractor, its employees and Subcontractors shall comply with all current applicable local, state and federal policies, regulations and standards relating to occupational health and safety, including, by way of illustration and not limitation, the standards of the Virginia Occupational Safety and Health (VOSH) Compliance Program administered by the Virginia Department of Labor and Industry. The provisions of all rules and regulations governing safety as adopted by the Safety and Health Codes Board of the Commonwealth of Virginia and issued by the Department of Labor and Industry under Title 40.1 of the Code of Virginia shall apply to all work under the contract. The Contractor shall provide, or cause to be provided, all technical expertise, qualified personnel, equipment, tools and material to safely accomplish the work specified and performed by the Contractor.

The Contractor shall provide a supervisor for each job site who is competent, qualified, and authorized on the worksite, and who is familiar with policies, regulations and standards applicable to the work being performed. The

supervisor shall be capable of identifying existing and predictable hazards in the surroundings or working conditions which are hazardous or dangerous to employees or the public, and shall be capable of ensuring compliance with all applicable safety and health regulations, and shall have the authority and responsibility to take prompt corrective measures, which may include removal of the Contractor's or Subcontractor's employees from the work site.

The Contractor may be required to provide to the Authority a copy of the Contractor's policies or manuals related to Environmental, Health & Safety compliance, and/or copies of training records for Contractor or Subcontractor employees.

If the Authority provides written or verbal notice to the Contractor that any of his activities have been determined to be hazardous and need to be stopped, the Contractor shall immediately discontinue those activities until notified by the Authority that he may resume activities.

Payment: The Authority recognizes the importance of timely payments to vendors. Upon award of a Contract, a payment structure and process will be determined to the satisfaction of all parties.

Faith-Based Organizations: (*Code of Virginia Section 2.2-4343.1, as amended*) The Authority does not discriminate against faith-based organizations.

Finance/Interest Charges: Finance and/or interest charges imposed by the Contractor on any invoice shall not be paid by the Authority.

Force Majeure: Neither party shall be held liable or responsible to the other party nor be deemed to have defaulted under or breached this Agreement for failure or delay in fulfilling or performing any obligation under this Agreement when such failure or delay is caused by or results from causes beyond the reasonable control of the affected party, including but not limited to fire, floods, embargoes, war, acts of war (whether war is declared or not), insurrections, riots, civil commotions, strikes, lockouts or other labor disturbances, acts of God or acts, epidemics, omissions or delays in acting by any governmental authority; provided, however, that the party so affected shall use reasonable commercial efforts to avoid or remove such causes of nonperformance, and shall continue performance hereunder with reasonable dispatch whenever such causes are removed. Either party shall provide the other party with prompt written notice of any delay or failure to perform that occurs by reason of force majeure. The parties shall mutually seek a resolution of the delay or the failure to perform as noted above.

Form of Agreement: It is the Authority's intent to utilize the Service Agreement included in ATTACHMENT C to execute the final agreement between the Authority and the Contractor. Except where otherwise prohibited by law, the Offeror shall note in the proposal response any

exceptions to the terms and conditions of the RFP or the Service Agreement.

Governing Law: Contracts shall be governed by the provisions hereof and by the laws of the Commonwealth of Virginia, excepting the law governing conflicts of laws. Disputes arising out of this contract shall be resolved in the Courts of the Commonwealth of Virginia, in and for the City of Richmond.

Indemnification: The Contractor shall hold harmless and indemnify the Authority, its officers, officials, employees and agents against any and all injury, loss or damage arising out of the Contractor's negligent or intentionally wrongful acts or omissions. As a matter of law, the Authority is prohibited from indemnifying the Contractor, subcontractors, or any third-party beneficiaries of the contract(s).

Invoices: Invoices for goods and services ordered and delivered shall be submitted by the Contractor to the remittance address shown on the purchase order. All invoices shall show the purchase order number, description of the goods and services, stock number and contract price as applicable. The Authority's obligation to pay amounts due under the contract shall be contingent upon receipt of invoices in sufficient detail to permit identification of the goods and services.

Modification of the Contract: The contract shall not be amended, modified, or otherwise changed except by the written consent of the Contractor and the Authority given in the same manner and form as the original signing of the contract.

Payment: If the Contractor performs all of the obligations of the contract to the satisfaction of the Authority, the Authority shall assure payment to the Contractor for the performance of the work in the manner and within the time specified in the contract documents, which shall be consistent with the provisions of *Code of Virginia* Sections 2.2-4352 and 2.2-4354, as amended. Furthermore, the Contractor shall, within fifteen days after receipt of payment, take the following actions:

- i. Pay the subcontractor for the proportionate share of the total payment received attributable to the work performed by the subcontractor under that contract; or
- ii. Notify the Authority and subcontractor, in writing, of his intention to withhold all or a part of the subcontractor's payment with the reason for nonpayment.

Precedence of Terms: All Special Conditions contained in this solicitation that may be in variance or conflict with these General Terms and Conditions shall have precedence over these General Terms and Conditions. If no changes or deletions to General Terms and Conditions are made in the Special Conditions, then the General Terms and Conditions shall prevail in

their entirety.

Proprietary Information: *Code of Virginia* Section 2.2-4342(F), as amended, states: "Trade secrets or proprietary information submitted by a bidder, offeror, or Contractor in connection with a procurement transaction or prequalification application submitted pursuant to subsection B of §2.2-4317 shall not be subject to the Virginia Freedom of Information Act (2.2-3700 et seq.); however, the bidder, offeror, or Contractor shall (i) invoke the protections of this section prior to or upon submission of the data or other materials, (ii) identify the data or other materials to be protected, and (iii) state the reasons why protection is necessary." If the exemption from disclosure provided by *Code of Virginia* Section 2.2-4342(F), as amended, is not properly invoked then the proposals will be subject to disclosure pursuant to applicable law.

References: If requested, the offeror shall provide references which substantiate past work performance and experience in the type of work required for the contract. The Authority may contact all references furnished by offerors. The right is further reserved by the Authority to contact references other than, and/or in addition to, those furnished by the offeror.

Sensitive Information Handling: Any information in the possession of the Authority which is specific to an employee, student, citizen, business function, private business entity or other government entity which is not generally available to the public shall be designated Sensitive Information. Contract workers will under no circumstances remove Sensitive Information from Authority facilities. Any Sensitive Information which must reside temporarily on a hard drive or portable storage device (USB Key, CD ROM, memory card, etc.) for processing must remain within the Authority facility. No Sensitive Information may be remotely accessed by contract workers by dial in, VPN, web interface or other means without expressed consent of the Authority. Any access to Authority information by contract workers from outside the Authority's system shall be in accordance with existing technology security policies and procedures as required by the executed contract. Contract worker network connected computer equipment will be subject to all applicable policies and procedures. Any exception to the application of these policies shall require approval by the specific entity's information systems technology department manager or director. In the event that the specific entity entering into the contract does not have an information systems technology department, then the approval must be obtained.

Taxes: The Authority is exempt from payment of Federal Excise Tax and State and Local Sales and Use Tax on all tangible personal property purchased or leased by the Authority for its use or consumption. Tax exemption certification will be furnished upon request. Sales tax, however, is paid by the Authority on materials and supplies that are installed by a Contractor and become a part of real property. Contractors are not exempt from paying taxes on these materials and supplies, as they are considered to be a cost of doing

business and should be considered in pricing when preparing a proposal.

Technology Agreements: The Offeror shall submit terms of service, terms of use, end user license agreements, software license agreements, etc. with the proposal for any online activity (i.e. hosted, online, portal, website, support site, etc.) or software that is required to use or support the product or service being proposed by the Offeror. These agreements shall be submitted with tracked changes to delete any terms that conflict with the RFP and the Service Agreement.

Termination: It shall be the sole right of the Authority to terminate the contract upon written notification to the Contractor.

Termination for Breach or Non-Performance: If the Contractor fails to perform the work promptly and diligently, or if the Contractor breaches the Contract in any other way, the Authority may:

- i. after providing the Contractor with 15 days written notice, supply any workmen, equipment or materials necessary to ensure that the work is performed promptly and diligently. The Authority may deduct the cost of supplying additional workmen, equipment or materials from payments due to the Contractor;
- ii. terminate the contract, enter upon the premises, take possession of all equipment, materials or appurtenances, and employ any person or persons to finish the work.

If the contract is terminated by the Authority, the Contractor shall not be entitled to receive any further payment until completion of the work has occurred. After completion of the work, the Authority shall assure payment to the Contractor the amount of the unpaid balance due to the Contractor at the time the contract was terminated minus the cost incurred by the Authority to complete the work. If the cost incurred by the Authority to complete the work exceeds the unpaid balance due to the Contractor, the Contractor shall be due no money from the Authority and, instead, the Contractor shall pay to the Authority the difference between the unpaid balance due and the Authority's cost to complete the work.

Unauthorized Aliens: (Code of Virginia Section 2.2-4311.1, as amended) The Contractor agrees that he does not, and shall not, during the performance of the contract, knowingly employ an unauthorized alien as defined in the federal Immigration Reform and Control Act of 1986.

Vendor Rewards/Gift Programs: It is the policy of the Authority not to participate in any rewards programs offered by vendors and not to accept any gifts or gift cards, or other rewards from vendors for purchases made by the Authority. If you customarily provide, or if you plan to provide, rewards programs, gifts or gift cards, or other rewards to your customers for purchases made by such customers, you must identify this fact in your proposal and demonstrate in the proposal how you have applied the value of such rewards

to a reduction in the price of the goods and/or services being offered to the Authority.

Waiver of One Breach Not Waiver of Others: No waiver by the Authority or its agents or employees of any breach of this contract by the Contractor shall be construed as a waiver of any other or subsequent breach of the contract by the Contractor. All remedies provided by this contract are cumulative, and in addition to each other remedy under the law.

SPECIAL TERMS AND CONDITIONS

1. **Contract Term/Contract Renewal/Contract Extension:** The initial term of this contract shall be effective from November 1, 2021 through June 30, 2025.
2. **Contract Renewal** (requirements contract based on unit prices): This contract may be renewed by the Authority for **four (4) successive one-year periods** under the terms and conditions of the original contract except as stated in subsections i. and ii. below. Price increases may be negotiated only at the time of renewal. Upon a determination by the Authority to renew this contract for an additional term, written notification will be given to the Contractor.

If the Authority elects to exercise the option to renew the contract for an additional one-year period, the contract price(s) for the additional one year shall not exceed the contract price(s) of the original contract increased by more than the percentage increase of the Other Services category (CWUS0000SAS367) of the Urban Wage Earners and Clerical Workers section of the Consumer Price Index (CPI-W) of the United States Bureau of Labor Statistics for the latest twelve months for which statistics are available. The source for this index shall be the following: <http://www.bls.gov/cpi>

If during any subsequent renewal periods, the Authority elects to exercise the option to renew the contract, the contract price(s) for the subsequent renewal period shall not exceed the contract price(s) of the previous renewal period increased by more than the percentage increase of the Other Services category (CWUS0000SAS367) of the Urban Wage Earners and Clerical Workers section of the Consumer Price Index (CPI-W) of the United States Bureau of Labor Statistics for the latest twelve months for which statistics are available. The source for this index shall be the following: <http://www.bls.gov/cpi>

3. **Contract Extension:** The Authority has the right to extend this contract for up to one hundred eighty (180) days following any term of the contract.
4. **Cooperative Procurement:** This procurement is being conducted by the Central Virginia Transportation Authority in accordance with the provisions of *Code of Virginia*, Section 2.2-4304, as amended. If agreed to by the Contractor, other public bodies may utilize this contract, except for 1) contracts for architectural and engineering services; or, 2) construction contracts. The Contractor shall deal directly with any public body it authorizes to use the contract. The Authority, its officials and staff are not responsible for placement of orders, invoicing, payments, contractual disputes, or any other transactions between the Contractor and any other public bodies, and in no event shall the Authority, its officials or staff be responsible for any costs, damages or injury resulting to any party from use of an Authority contract. The Authority assumes no responsibility for any notification of the availability of the contract for use by other public bodies, but the Contractor may conduct such notification.
5. **Insurance Requirements:** The Contractor and insurance company should carefully review the insurance requirements set forth below. The Contractor shall furnish a copy of a certificate of insurance in accordance with the requirements before the Authority will execute a contract. The Contractor shall be responsible for maintaining current certificates of insurance on file with the Authority. The certificate of insurance does not need to accompany the proposal.

The Contractor shall purchase and maintain in force, at his own expense, such insurance as will protect him and the Authority from claims which may arise out of or result from the Contractor's execution of the work, whether such execution be by himself, his employees, agents, subcontractors, or by anyone for whose acts any of them may be liable. The insurance coverages shall be such as to fully protect the Authority, the Consulting Professional (if applicable) and the general public from any and all claims for injury and damage resulting by any actions on the part of the Contractor or his forces as enumerated above.

The Contractor shall furnish insurance in satisfactory limits, and on forms and of companies that are acceptable to the Authority and shall require and show evidence of insurance coverages on behalf of any subcontractors (if applicable), before entering into any agreement to sublet any part of the work to be done under this contract. All insurance carriers shall waive any and all subrogation against the Authority, and it shall be the responsibility of the Contractor/the Contractor's insurance professional to ensure

compliance with this requirement.

The Contractor's insurance coverage shall be primary and non-contributory to any program of insurance or self-insurance that the Authority may or may not have in force, and the insurance required hereunder shall not be interpreted to relieve the Contractor of any obligations under the contract. The Contractor shall remain fully liable for all deductibles and amounts in excess of the coverage actually realized.

The Contractor shall maintain during the initial term and any additional terms of this contract the following equivalent coverage and minimum limits:

- a. Commercial General Liability: \$1,000,000 Combined Single Limit per occurrence. Coverage must be Broad Form and include Products & Completed Operations, Bodily Injury, Property Damage and Contractual Liability.
- b. Business Automobile Liability: \$1,000,000 Combined Single Limit per occurrence. Coverage should include all owned, hired and non-owned automobiles.
- c. Workers' Compensation: Virginia Statutory limits including Employers Liability limits of \$100,000 each accident, \$100,000 each disease-each employee, and \$500,000 policy limit.
- d. Professional Liability: \$1,000,000 per occurrence.
- e. Umbrella Liability in excess of Commercial General Liability and Automobile Liability: \$2,000,000 per occurrence and in the aggregate. Such umbrella or excess policy shall provide substantially the same coverage as the underlying Commercial General Liability (including the Authority as an additional insured), Business Automobile Liability or Employers' Liability insurance and shall expressly provide that the umbrella or excess liability will drop down over a reduced or exhausted aggregate limit of the underlying insurance.

An insurance certificate shall be provided as evidence of the required insurance. The insurance certificate:

- a. Must reflect that the Commercial General Liability policy names the Central Virginia Transportation Authority, their officers, employees, and agents, as an additional insured by endorsement to the policy or as required by contract. Additional insured status applies to all work of the named insured performed on behalf of

the Authority.

- b. Must reflect that the policies are endorsed to require no less than 30 days' notice of cancellation or other change in coverage to the Authority;
 - c. Must have an authorized signature;
 - d. Include Certificate Holder listed as: Central Virginia Transportation Authority c/o PlanRVA 9211 Forest Hill Avenue, Suite 200 Richmond VA 23235
6. **Negotiating Contract Reductions:** The Authority reserves the right, at any time during the contract term or any extension of the term, to renegotiate with the Contractor a reduction in the compensation paid to the Contractor that is less than the compensation initially agreed to by the Contractor and the Authority at the time of contract execution/issuance of the purchase order. The Authority may initiate such negotiations whenever the Authority determines that it is in the Authority's best fiscal interests to do so. Notwithstanding any other provision of this contract/purchase order to the contrary; the Authority may terminate the contract/purchase order immediately and without penalty if the Authority is unable to renegotiate the compensation with the Contractor to an amount which the Authority determines to be appropriate.
7. **Subcontracts:** No portion of the work shall be subcontracted without prior written consent of the Authority. In the event that the Contractor desires to subcontract some part of the work specified herein, the Contractor shall furnish the Authority the names, qualifications and experience of their proposed subcontractors. The Contractor shall, however, remain fully liable and responsible for the work to be done by its subcontractor(s) and shall assure compliance with all requirements of the contract and compliance with applicable General Terms and Conditions (including, without limitation, the non-discrimination and drug-free workplace provisions).

PRICING SCHEDULE

Offerors are required to submit a cost proposal which details each item as follows:

Offerors shall provide fixed fees for each of the debt issuance transactions identified below. Each fixed fee amount provided shall include reimbursable expenses that may be incurred, excluding travel related expenses (e.g. travel, meals, lodging). Travel related expenses should comply with GSA per diem rates which are utilized by the Authority (<https://www.gsa.gov/travel/plan->

[book/per-diem-rates](#)). The grand total of the fixed fees provided below will be utilized for evaluation purposes only.

Debt Issuance Transaction	Fixed Fee
General Obligation, Revenue Bonds, and COPS/Lease Purchase Issues	\$
Stand-Alone Refunding Bonds	\$
Combined General Obligation or Revenue Bonds/COPS/Lease Purchase Issues with Refunding Bonds	\$
GRAND TOTAL	\$

Provide personnel classification and hourly rates for personnel that may provide consulting services, if requested, not related to the identified debt issuance transactions in Item A.

Personnel Classification	Hourly Rate
	\$
	\$
	\$
	\$
	\$

EVALUATION CRITERIA

These criteria are to be utilized in the evaluation of qualifications for development of the shortlist of those offerors to be considered for negotiations. Individual criteria have been assigned a weight to reflect relative importance.

Criteria	Weights
1. Demonstrated ability to comprehensively perform all services detailed in the RFP.	20
2. Organization, size, structure, and financial stability of firm including qualification as a small, minority, woman owned, disabled or veteran owned business or leverage of partnerships or joint ventures with businesses targeted for increased diversity and inclusion.	20
3. Demonstrated experience and reputation in financial management, particularly with local governments and public authorities. Demonstrated knowledge of innovative financing techniques and expertise in current developments. Knowledge of matters affecting the issuance of debt within the Commonwealth of Virginia.	30
4. Overall qualifications and capacity of the firm to perform the services required. Experience and qualifications of the proposed personnel assigned to provide the services.	10
5. Cost of Services	20

AWARD PROCEDURE

Selection shall be made of two or more offerors deemed to be fully qualified and best suited among those submitting proposals, on the basis of the factors involved in the Request for Proposal, including price if so stated in the Request for Proposal. Negotiations shall then be conducted with each of the offerors so selected. Price shall be ~~considered, but~~considered but need not be the sole determining factor. After negotiations have been conducted with each offeror so selected, the Authority shall select the offeror which, in its opinion, has made the best proposal and award the contract to that offeror. When the terms and conditions of multiple awards are so provided in the Request for Proposal, awards may be made to more than one offeror. Should the Authority determine in writing and in its sole discretion that only one offeror is fully qualified or that one offeror is clearly more highly qualified than the others under consideration, a contract may be negotiated and awarded to that offeror.

ATTACHMENT A - Virginia State Corporation Commission (SCC) Registration

Information

The offeror shall check one of the following. The offeror is:

- a corporation or other business entity with the following SCC identification number: _____ **-OR-**
- not a corporation, limited liability company, limited partnership, registered limited liability partnership, or business trust **-OR-**
- an out-of-state business entity that does not regularly and continuously maintain as part of its ordinary and customary business any employees, agents, offices, facilities, or inventories in Virginia (not counting any employees or agents in Virginia who merely solicit orders that require acceptance outside Virginia before they become contracts, and not counting any incidental presence of the offeror in Virginia that is needed in order to assemble, maintain, and repair goods in accordance with the contracts by which such goods were sold and shipped into Virginia from offeror's out-of-state location) **-OR-**
- an out-of-state business entity that is including with this proposal an opinion of legal counsel which accurately and completely discloses the undersigned offeror's current contacts with Virginia and describes why those contacts do not constitute the transaction of business in Virginia within the meaning of § 13.1-757 or other similar provisions in Titles 13.1 or 50 of the *Code of Virginia*.

****NOTE** >>** Check the following box if you have not completed any of the foregoing options but currently have pending before the SCC an application for authority to transact business in the Commonwealth of Virginia and wish to be considered for a waiver to allow you to submit the SCC identification number after the due date for proposals. No award shall be issued to an offeror who fails to provide the required information unless a waiver of these requests is granted by the chief executive of the local governing body (the County reserves the right to determine in its sole discretion whether to allow such waivers):

ATTACHMENT B – Sample Service Agreement
SERVICE AGREEMENT #_ FOR FINANCIAL ADVISORY SERVICES

THIS AGREEMENT, entered into as of this _____ day of _____, 20____ ("effective date"), by and between the Central Virginia Transportation Authority ("Authority"), a political subdivision of the Commonwealth of Virginia and [insert Contractor name], ("Contractor").

WITNESSETH:

WHEREAS, by Request for Proposal No. CVTA2022-01 (the "RFP"), the Authority solicited interested firms to submit proposals for Financial Advisory Services; and

WHEREAS, Contractor has represented to the Authority that it is fully capable of performing the services described in this Agreement, and the Authority has relied on such representation to select Contractor to provide the services; and

WHEREAS, the Authority and Contractor now desire to enter into an agreement setting forth their rights and obligations with regard to Contractor's performance of the services.

NOW, THEREFORE, for and in consideration of the mutual agreements contained herein, the parties agree as follows.

1. Scope of Services. Contractor shall furnish all labor, materials and services necessary to satisfy the requirement of the Authority as set forth in the RFP, this Agreement, and any additional services described in the Contractor's proposal entitled "[insert title of proposal response]" dated [insert date of Contractor's proposal] and any revisions thereto, hereinafter referred to as "Proposal". The work to be performed by the Contractor is described in detail in the RFP and the Proposal, andProposal and shall be referred to collectively as the "Services". Contractor represents that it will perform the Services in accordance with generally accepted professional standards, andstandards and will provide the Authority with the best

possible advice and consultation within Contractor's authority and capacity. In the event of any conflict between the terms of the document originating from the Authority ("Authority Document", including the RFP, this Service Agreement, and **Exhibits X, X, and X**), and a document originating from the Contractor ("Contractor Document", including the Proposal and **Exhibits X, X, and X**), the terms of the Authority Document shall control.

2. Authorization. Contractor warrants that it has the right to enter into this Agreement and to perform all obligations hereunder. Contractor represents that the execution of this Agreement and performance of any of its obligations hereunder are duly authorized and in compliance with applicable federal, state and local laws, rules and regulations. Contractor represents that it holds all valid licenses and permits necessary to perform the Services and will promptly notify the Authority in the event any such license or permit expires, terminates or is revoked.

3. Authority's Obligations. The Authority shall furnish Contractor, upon request, with any information, data, reports, and records which are reasonably available to the Authority and necessary for carrying out Contractor's responsibilities, so long as the provision of such information, data, reports, and records to Contractor is consistent with applicable law. The Authority shall designate a person to act as the Authority contact with respect to the Services. The Authority's representative shall have the authority to transmit instructions, receive information and interpret and define the Authority's policies and decisions pertinent to Contractor's Services.

4. Time of Performance. All Services to be performed and any reports to be prepared hereunder by Contractor shall be undertaken and completed promptly pursuant to a schedule to be agreed upon between the Authority and the Contractor. It is expressly understood and agreed by the parties hereto that time is of the essence.

5. Contract Terms. The initial term of this contract shall be for a period of four fiscal years through June 30, 2025. This contract is renewable at the sole

discretion of the Authority for four (4) additional terms of 1 year each.

6. Compensation. The Authority shall pay Contractor, provided that Contractor performs to the satisfaction of the County, fee(s) as follows: _____

7. Time of Payment. Contractor shall submit invoices in accordance with the schedule outlined above. The Authority (or its agent) shall make payments to Contractor subject to the terms of this Agreement within thirty (30) days of receipt of Contractor's correct invoice. Contractor understands and accepts that the Authority will not pay any finance charges imposed on any invoices submitted by the Contractor for services performed under this Agreement. If the Agreement is terminated by the Authority and not in any way through the fault of Contractor, payments due Contractor for services rendered prior to termination shall be paid to Contractor and shall constitute total payment for such services. If this Agreement is terminated in whole or in part due to the fault of Contractor, Contractor shall have no right to claim payment due for services performed but uncompensated at the time of termination provided that the Authority is not delinquent in its payments to Contractor. Payments made to Contractor shall not be considered as evidence of satisfactory performance of the work by Contractor, either in whole or in part, nor shall any payment be construed as acceptance by the Authority of inadequate services.

8. Non-Appropriations. The continuation of the terms, conditions, and provisions of this contract beyond the fiscal year is subject to approval and ratification by the Authority and appropriation by them of the necessary money to fund this Agreement for each succeeding year.

9. Termination. It shall be the sole right of the Authority to terminate this Agreement at any time for any reason upon written notification to the Contractor.

10. Force Majeure. Neither party shall be held liable or responsible to the other party nor be deemed to have defaulted under or breached this Agreement for

failure or delay in fulfilling or performing any obligation under this Agreement when such failure or delay is caused by or results from causes beyond the reasonable control of the affected party, including but not limited to fire, floods, embargoes, war, acts of war (whether war is declared or not), insurrections, riots, civil commotions, strikes, lockouts or other labor disturbances, acts of God or acts, epidemics, omissions or delays in acting by any governmental authority; provided, however, that the party so affected shall use reasonable commercial efforts to avoid or remove such causes of nonperformance, and shall continue performance hereunder with reasonable dispatch whenever such causes are removed. Either party shall provide the other party with prompt written notice of any delay or failure to perform that occurs by reason of force majeure. The parties shall mutually seek a resolution of the delay or the failure to perform as noted above.

11. Records and Inspection. Contractor shall maintain full and accurate records with respect to all matters covered under this Agreement including, without limitation, accounting records, written policies and procedures, time records, telephone records, reproduction cost records, travel and living expense records and any other supporting evidence necessary to substantiate charges related to this Agreement. Contractor's records shall be open to inspection and subject to audit and/or reproduction, during normal working hours, by the Authority and its employees, agents, or authorized representatives to the extent necessary to adequately permit evaluation and verification of any invoices, payments or claims submitted by Contractor pursuant to this Agreement. Such records subject to examination shall also include, without limitation, those records necessary to evaluate and verify direct and indirect costs (including indirect labor and overhead allocations) as they may apply to costs associated with this Agreement. The Authority shall have access to such records from the effective date of this Agreement, for the duration of the Agreement, and until two (2) years after the date of final payment by the Authority

to the Contractor pursuant to this Agreement. The Authority's employees, agents or authorized representatives shall have access to the Contractor's facilities, shall have access to all necessary records, and shall be provided adequate and appropriate work space, in order to conduct audits in compliance with this paragraph.

12. Insurance. The Contractor shall purchase and maintain in force, at his own expense, such insurance as will protect him and the Authority from claims which may arise out of or result from the Contractor's execution of the work, whether such execution be by himself, his employees, agents, subcontractors, or by anyone for whose acts any of them may be liable. The insurance coverage shall be such as to fully protect the Authority, and the general public from any and all claims for injury and damage resulting by any actions on the part of the Contractor or his forces as enumerated above. The Contractor shall furnish a Certificate of Insurance, naming Central Virginia Transportation Authority as an additional insured for general liability and excess liability coverage. Should any of the policies be canceled before the expiration date, the issuing company will mail 30 days written notice to the certificate holder. The Contractor shall furnish insurance in satisfactory limits, and on forms and of companies which are acceptable to the Authority's Attorney and shall require and show evidence of insurance coverages on behalf of any subcontractors (if applicable), before entering into any agreement to sublet any part of the work to be done under this Agreement. The Contractor shall maintain during the initial term, and any additional terms of this Agreement, the following equivalent coverage and minimum limits:

- (a) [Include information from RFP or final negotiated coverages/limits]
- (b)
- (c)

13. Confidentiality. Unless expressly authorized by the Authority, Contractor,

its officers and employees, shall not divulge to anyone other than Authority officials in either written or verbal form any information or data obtained as a result of performing services pursuant to this Agreement. Contractor agrees to assume all responsibility for ensuring the privacy, confidentiality, and security of Authority data released to Contractor under this Agreement through the use of necessary and appropriate security and technical controls.

14. When Rights and Remedies Not Waived. In no event shall the making by the Authority of any payment to Contractor constitute or be construed as a waiver by the Authority of any breach of covenant, or any default which may then exist, on the part of the Contractor, and the making of any such payment by the Authority while any such breach or default exists shall not impair or prejudice any rights or remedies available to the Authority in respect to such breach or default.

15. Non-Discrimination Provision. During the performance of this Agreement, Contractor agrees as follows:

(a) The Contractor will not discriminate against any employee or applicant for employment because of race, religion, color, gender, national origin, age or disability, or other basis prohibited by state law relating to discrimination in employment, except where there is a bona fide occupational qualification reasonably necessary to the normal operation of Contractor. The Contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices setting forth the provisions of this nondiscrimination clause.

(b) The Contractor, in all solicitations or advertisements for employees placed by or on behalf of Contractor, will state that Contractor is an equal opportunity employer.

(c) Notices, advertisements and solicitations placed in accordance with federal law, rule or regulation shall be deemed sufficient for the purpose of meeting the requirements of this section.

Contractor shall include the provisions of the foregoing subparagraphs a, b, and c in every subcontract or purchase order over \$10,000 so that the provisions will be binding upon each subcontractor or vendor.

16. Drug Free Workplace. During the performance of this contract, the Contractor agrees to:

- (a) Provide a drug-free workplace for the Contractor's employees.
- (b) Post in conspicuous places, available to employees and applicants for employment, a statement notifying employees that the unlawful manufacture, sale, distribution, dispensation, possession, or use of a controlled substance or marijuana is prohibited in the Contractor's workplace and specifying the actions that will be taken against employees for violations of such prohibition.
- (c) State in all solicitations or advertisements for employees placed by or on behalf of the Contractor that the Contractor maintains a drug-free workplace.
- (d) Include the provisions of the foregoing clauses in every subcontract or purchase order over \$10,000, so that the provisions will be binding upon each subcontractor or vendor.

For purposes of this section, "drug-free workplace" means a site for the performance of work done in connection with a specific contract awarded to a contractor in accordance with this chapter, the employees of who are prohibited from engaging in the unlawful manufacture, sale, distribution, dispensation, possession or use of any controlled substance or marijuana during the performance of the contract.

17. Hold Harmless. Contractor shall indemnify, defend and hold the Authority, its Affiliates and their officers, directors, employees and agents harmless from and against any and all third party claims of loss, damages, liability, costs, and expenses (including reasonable attorneys' fees and expenses) arising out of or resulting from a breach by Contractor of any term of this Agreement or an Order or arising out of Contractor's negligent or intentionally wrongful acts or omissions. As a

matter of law, the Authority is prohibited from indemnifying Contractor, subcontractors, or any third-party beneficiaries of the Agreement.

18. Governing Law. Contractor and the Authority agree that this Agreement shall be deemed to have been made in Virginia and that the validity and construction of this Agreement shall be governed by the laws of the Commonwealth of Virginia, excepting the law governing conflicts of laws. Contractor and the Authority further agree that any legal action or proceeding arising out of this Agreement shall be commenced and tried in the Circuit Court of the City of Richmond to the express exclusion of any otherwise permissible forum.

19. Notices. Any notices, bills, invoices or reports required by this Agreement shall be sufficient if sent by the parties in the United States mail, postage paid, to the address noted below:

If to the Authority:

Central Virginia Transportation Authority
c/o PlanRVA
9211 Forest Hill Avenue, Suite 200
Richmond, Virginia 23235

If to the CONTRACTOR:

Name of Contractor
Attn: name of Contractor contact
Address
City, State Zip

20. Assignment. This Agreement and Orders may not be assigned or transferred by a party thereto without the prior written consent of the other party thereto, which consent shall not be unreasonably withheld. Notwithstanding the foregoing, Contractor may freely assign this Agreement and Orders to an Affiliate or to an acquirer of all or part of Contractor's business or assets, whether by merger or acquisition, provided that Contractor notifies the Authority of such assignment and the Authority does not object in writing within 15 days of receiving such notification

21. Entire Agreement. This Agreement and any additional or supplementary documents incorporated herein by reference, contain all the terms and conditions agreed upon by the parties hereto, and no other agreements, oral or otherwise, regarding the subject matter of this Agreement or any part thereof shall have any validity or bind any of the parties hereto. This Agreement shall not be modified, altered, changed or amended unless in writing and signed by the parties hereto.

22. Subcontractors. The Authority reserves the right to reject any subcontractor selected by Contractor. The Authority shall exercise this right in good faith and for a legitimate reason. Upon such rejection, the subcontractor shall immediately cease any work on the Project. A subcontractor selected by Contractor to replace a rejected subcontractor must be approved in writing by the Authority prior to performing any work on the Project. Such approval will not be unreasonably withheld.

23. Taxes, Unemployment Insurance and Related Items. Contractor hereby accepts full and exclusive responsibility for the payment of any and all contributions or taxes, or both, for any unemployment insurance, medical and old age retirement benefits, pensions, and annuities now or hereinafter imposed under any law of the United States or any State, which are measured by the wages, salaries or other remuneration paid to persons employed by Contractor on the work covered by this Agreement or in any way connected therewith. Contractor shall comply with all administrative regulations and rulings thereunder with respect to any of the aforesaid matters; and Contractor shall reimburse the Authority for any of the aforesaid contributions or taxes, or both, or any part thereof, if by law the County may be required to pay the same or any part thereof.

24. Independent Contractor. Contractor's relationship with the Authority shall at all times be that of an Independent Contractor. The method and manner in

which Contractor's Services hereunder shall be performed shall be determined by Contractor and the Authority will not exercise control over Contractor or its employees except insofar as may be reasonably necessary to ensure performance and compliance with this Agreement. Nothing in this Agreement shall be construed to make Contractor, or any of its employees, employees or agents of the Authority.

Service Agreement Language:

25. Environmental, Health & Safety (EHS) Management. Contractor shall be responsible for complying with all federal, state, and local safety and environmental regulations.

26. Unauthorized Aliens. In accordance with the Virginia Code, Section 2.2-4311.1, Contractor hereby agrees that he does not and shall not, during the performance of this contract, knowingly employ unauthorized aliens as defined in the federal Immigration Reform and Control Act of 1986.

IN WITNESS WHEREOF, the County and Contractor have executed this Agreement as of the date first written above.

Central Virginia Transportation Authority

By: _____
Frank Thornton

Title: Chairman

Date: _____

[CONTRACTOR NAME]

By: _____

Printed Name

Title: _____

Date: _____

Approved as to form:

Legal Counsel

Exhibit X – [Description]

Attachment C – Proprietary/Confidential Information Identification

As indicated in General Term and Condition 25. Proprietary Information - Code of Virginia Section 2.2-4342(F), as amended, states: "Trade secrets or proprietary information submitted by a bidder, offeror, or Contractor in connection with a procurement transaction or prequalification application submitted pursuant to subsection B of §2.2-4317 shall not be subject to the Virginia Freedom of Information Act (2.2-3700 et seq.); however, the bidder, offeror, or Contractor shall (i) invoke the protections of this section prior to or upon submission of the data or other materials, (ii) identify the data or other materials to be protected, and (iii) state the reasons why protection is necessary." If the exemption from disclosure provided by Code of Virginia Section 2.2-4342(F), as amended, is not properly invoked then the proposals will be subject to disclosure pursuant to applicable law.

The proprietary or trade secret material submitted in the original and all copies of the proposal must be identified by some distinct method such as highlighting or underlining and must indicate only the specific words, figures, or paragraphs that constitute trade secret or proprietary information. In addition, a summary of proprietary information submitted shall be submitted on this form. The classification of an entire proposal document, line item prices, and/or total proposal prices as proprietary or trade secrets is not acceptable. If, after being given reasonable time, the Offeror refuses to withdraw such a classification designation, the proposal will be rejected.

Name of Offeror: _____ invokes the protections of § 2.2-4342F of the *Code of Virginia* for the following portions of my proposal submitted on _____.

Date _____

Signature: _____ Title: _____

DATA/MATERIAL TO BE	SECTION NO., & PAGE NO.	REASON WHY PROTECTION IS NECESSARY

Use continuation sheet(s) if necessary

Attachment D- Proposer Information Form

My signature below certifies that:

- I agree to abide by all conditions of this Request for Proposal (RFP) and that I am authorized to sign this proposal;
 - the accompanying proposal is not the result of, or affected by, any unlawful act of collusion with another person or company engaged in the same line of business or commerce, or any act of fraud punishable under § 18.2-498.1, *et. seq.* of the *Code of Virginia*. Furthermore, I understand that fraud and unlawful collusion are crimes under the Virginia Governmental Frauds Act, the Virginia Government Bid Rigging Act, the Virginia Antitrust Act, and Federal Law, and can result in fines, prison sentences, and civil damage awards;
 - that the accompanying proposal is in compliance with applicable provisions of the State and Local Government Conflict of Interests Act (§ 2.2-3100, *et. seq.* of the *Code of Virginia*). Specifically, without limitation, no Authority member, employee or a member of the employee's immediate family shall have a proscribed personal interest in a contract; and
 - that the accompanying proposal is in accordance with applicable provisions of the Virginia Public Procurement Act, Art. 6 Ethics in Public Contracting (§ 2.2-4367, *et. seq.* of the *Code of Virginia*), and any other applicable law as set forth therein.
-

Complete Legal Name of Firm*: _____

Address: _____

Remit To Address: _____

Signature: _____ **Email:** _____

Name (type/print): _____ **Title:** _____

Fed ID No.: _____ **Phone:** (____) _____ **Fax:**

(____) _____

Proposal Dated: _____

Please refer to Clause 6 of the General Terms and Conditions:

Minority-Owned Business: Yes No **In Region Business:** Yes No

Women-Owned Business: Yes No **Small Business:** Yes No

Service-Disabled Veteran-Owned Business Yes No

*You may complete and submit more than one form if submitting as a joint venture or partnership proposal.